

# TAINWALA

## COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 2022

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Simran Mansukhani, Finance Director, have examined the following compliance requirement of Tainwala Chemicals and Plastics (I) Limited and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

Sr. No	Compliance Requirement	Yes/No	Observation/ Remark
1.	Whether the Company has a Structured Digital Database in place?	Yes	The Company has maintained the Structured Digital Database in Excel file format.
2.	Whether control exists as to who can access the SDD for read/ write along with the names and PAN of such person?	Yes	
3.	Whether all the UPSI had been captured in the Database. If not details of events that had not been captured and the reason for the same?	Yes	
4.	Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same?	Yes	
5.	Whether nature of UPSI has been captured along with date and time?	Yes	
6.	Whether name of persons who have shared the information has been captured along with PAN or any other identifier?	Yes	
7.	Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?	Yes	

### **TAINWALA CHEMICALS AND PLASTICS (INDIA) LIMITED**

Tainwala House, Road No. 18, M.I.D.C., Andheri(East), Mumbai-93. Tel: 6716 6100 To 199.  
Works – Plot No.87, Govt,Indl.Estate, Khadoli Village, Silvassa, 396230. Tel: 9574073331 / 957407332

E – mail : [simran@tainwala.in](mailto:simran@tainwala.in) / [sales@tainwala.in](mailto:sales@tainwala.in)

**SUBJECT TO SILVASSA JURISDICTION**

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8.	Whether the database has been maintained internally?	Yes	
9.	Whether audit trail is maintained?	Yes	
10.	Whether time stamping is maintained?	Yes	
11.	Whether the database is non-tamperable?	Yes	
12.	Any other measures to ensure non-tamperability of the Database?	Yes	Access is only available with Finance Director.

The number of days for which non-compliance was observed: **NIL**

Further I also confirm that the Company was required to capture 4 (Four) events during the quarter ended and has captured 4 (Four) of the said required events.

For Tainwala Chemicals and Plastics (I) Limited

*SR Mansukhani*



**Simran Mansukhani**

**Finance Director and Chief Financial Officer**

**M. No.: +91- 9820027661**

**Date: 09<sup>th</sup> August, 2022**

**Place: Mumbai**

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